



017.39133X00 #3

Applicants: Khiem LE, et al.

Serial No.: 09/756,232

Filed: January 9, 2001

For: ROBUST AND EFFICIENT COMPRESSION OF LIST OF ITEMS

Attn: Customer Service Center Initial Patent Examination Division

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - SUBMISSION OF LATE DECLARATION**

Assistant Commissioner
for Patents
Washington, D. C. 20231

April 13, 2001

Sir:

In response to the Notice to File Missing Parts of Application mailed February 21, 2001 in connection with the above-identified application, Applicants are filing herewith an executed Declaration for patent application in compliance with 37 C.F.R. §1.63. A copy of the Notice to File Missing Parts is also attached.

A credit card payment form for the requisite \$130.00 fee is attached. Should any additional fees become due in connection with the filing of this Declaration, please charge any such fees to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (219.39072X00), and please credit any excess fees thereto.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

David C. Oren
Registration No. 38,694

DCO/dbp
Attachments



✓ Sector #3/46

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	09/756,232
Filing Date	January 9, 2001
First Named Inventor	Khiem LE, et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	017-39133X00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 01-2135

Deposit Account Name: ATS&K, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☒ Other

FEE CALCULATION (continued)

				Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)						
105	130	205	65					Surcharge - late filing fee or oath	
127	50	227	25					Surcharge - late provisional filing fee or cover sheet	
139	130	139	130					Non-English specification	
147	2,520	147	2,520					For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*					Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*					Requesting publication of SIR after Examiner action	
115	110	215	55					Extension for reply within first month	
116	390	216	195					Extension for reply within second month	
117	890	217	445					Extension for reply within third month	
118	1,390	218	695					Extension for reply within fourth month	
128	1,890	228	945					Extension for reply within fifth month	
119	310	219	155					Notice of Appeal	
120	310	220	155					Filing a brief in support of an appeal	
121	270	221	135					Request for oral hearing	
138	1,510	138	1,510					Petition to institute a public use proceeding	
140	110	240	55					Petition to revive - unavoidable	
141	1,240	241	620					Petition to revive - unintentional	
142	1,240	242	620					Utility issue fee (or reissue)	
143	440	243	220					Design issue fee	
144	600	244	300					Plant issue fee	
122	130	122	130					Petitions to the Commissioner	
123	50	123	50					Processing fee under 37 CFR 1.17(q)	
126	180	126	180					Submission of Information Disclosure Stmt	
581	40	581	40					Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355					Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355					For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355					Request for Continued Examination (RCE)	
169	900	169	900					Request for expedited examination of a design application	
Other fee (specify) Notice to File Msg Parts									130.00
*Reduced by Basic Filing Fee Paid									
SUBTOTAL (3)									170.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	David C. Owen	Registration No. (Attorney/Agent)	38,694	Telephone	(703) 312-6600
Signature		Date	April 13, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/756,232	01/09/2001	Khiem Le	017.39133X00

CONFIRMATION NO. 6982

020457
ANTONELLI TERRY STOUT AND KRAUS
SUITE 1800
1300 NORTH SEVENTEENTH STREET
ARLINGTON, VA 22209

FORMALITIES LETTER



OC000000005782485

Date Mailed: 02/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

NK

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/16/2001 TGEDAMU1 00000011 09756232

01 FC:105

130.00 OP



#4

017.39133X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Khiem LE, et al.
Serial No.: 09/756,232
Filed: January 9, 2001
For: ROBUST AND EFFICIENT COMPRESSION OF LIST OF ITEMS
Group: Unassigned
Examiner: Unassigned

CLAIM FOR PRIORITY

Assistant Commissioner
for Patents
Washington, D. C. 20231

April 13, 2001

Sir:

This application claims priority under 35 U.S.C. 119(e) from U.S. Provisional
Application No. 60/211,986, filed June 16, 2000.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

David C. Oren
Registration No. 38,694

DCO/dbp
Attachments